

**NIVI TRADING LIMITED**  
**SHAREHOLDING PATTERN AS ON 30.06.2014**

**(I) (a) Statement showing Shareholding Pattern**

BSE SCRIP CODE : 512245		Name of Script : NIVITRD		Class of Security : Equity		Quarter Ended : 30.06.2014		
Category Code	<b>Partly paid up shares</b>			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
<b>Outstanding Convertible Securities</b>				Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
Held by Promoter/Promoter group				0	0.00	0.00		
Held by Public				0	0.00	0.00		
<b>Total</b>				<b>0</b>	<b>0.00</b>	<b>0.00</b>		
<b>Warrants</b>				Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
Held by Promoter/Promoter group				0	0.00	0.00		
Held by Public				0	0.00	0.00		
<b>Total</b>				<b>0</b>	<b>0.00</b>	<b>0.00</b>		
<b>Total paid up capital</b> of the Company, assuming full conversion of warrants and convertible securities								
Category Code	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) <sup>1</sup>	(A+B+C)	Number of Shares	As a %
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )=(VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
<b>(1)</b>	<b>Indian</b>							
(a)	Individuals/H.U.F	9	375,000	-	30.106	30.106	-	-
(b)	Central/State Government(s)	0	-	-	-	-	-	-
(c)	Bodies Corporate	6	433,000	-	34.762	34.762	-	-
(d)	Financial Institutions/Banks	0	-	-	-	-	-	-
(e)	Any Other (specify)	0	-	-	-	-	-	-
	Sub-Total (A)(1)	<b>15</b>	<b>808,000</b>	-	<b>64.868</b>	<b>64.868</b>	-	-
<b>(2)</b>	<b>Foreign</b>							
(a)	Non Resident Individuals/ Foreign Nationals	2	125,000	-	10.035	10.035	-	-
(b)	Bodies Corporate	0	-	-	-	-	-	-
(c)	Institutions	0	-	-	-	-	-	-
(d)	Any Other (specify)	0	-	-	-	-	-	-
	Sub-Total (A)(2)	<b>2</b>	<b>125,000</b>	-	<b>10.035</b>	<b>10.035</b>	-	-
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	<b>17</b>	<b>933,000</b>	-	<b>74.904</b>	<b>74.904</b>	-	-

(contd .....2)

BSE SCRIP CODE : 512245		Name of Scrip : NIVITRD		Class of Security : Equity		Quarter Ended : 30.06.2014		
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )=(VIII)/(IV)*100
<b>(B)</b>	<b>Public Shareholding<sup>3</sup></b>							
<b>(1)</b>	<b>Institutions</b>							
(a)	Mutual Fund/UTI	-	-	-	-	-		
(b)	Financial Institutions/Banks	-	-	-	-	-		
(c)	Central/State Government(s)	-	-	-	-	-		
(d)	Venture Capital Funds	-	-	-	-	-		
(e)	Insurance Companies	-	-	-	-	-		
(f)	Foreign Institutional Investors	-	-	-	-	-		
(g)	Foreign Venture Cap. Inv	-	-	-	-	-		
	<b>Sub-Total (B)(1)</b>	-	-	-	-	-	<b>N . A.</b>	<b>N . A.</b>
<b>(2)</b>	<b>Non Institutions</b>							
(a)	Bodies Corporate	1	50,000	-	4.014	4.014		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	-	-	-	-	-		
	ii) Holding nominal share capital in excess of Rs. 1 lakh.	12	262,600	-	21.082	21.082		
(c)	Any Other(specify)							
	Overseas Corporate Bodies	-	-	-	-	-		
	Non Resident Individuals	-	-	-	-	-		
	Any Other(Non Domestic Cos.)	-	-	-	-	-		
	<b>Sub-Total (B)(2)</b>	<b>13</b>	<b>312,600</b>	<b>-</b>	<b>25.096</b>	<b>25.096</b>	<b>N . A.</b>	<b>N . A.</b>
	Total Public shareholding (B)=(B)(1)+(B)(2)	<b>13</b>	<b>312,600</b>	<b>-</b>	<b>25.096</b>	<b>25.096</b>		
	TOTAL (A)+(B)	<b>30</b>	<b>1,245,600</b>	<b>-</b>	<b>100.00</b>	<b>100.00</b>	<b>N . A.</b>	<b>N . A.</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group	-	-	-	-	-	<b>N . A.</b>	<b>N . A.</b>
2	Public	-	-	-	-	-	<b>N . A.</b>	<b>N . A.</b>
	<b>GRAND TOTAL (A)+(B)+( C)</b>	<b>30</b>	<b>1,245,600</b>	<b>-</b>	<b>100.00</b>	<b>100.00</b>		

## NIVI TRADING LIMITED

**(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr.No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares held	As a % of grand total (A)+(B)+( C)	Number	As a Percentage	As a % of grand total (A)+(B)+( C) of sub-clause ( I ) (a)	No. of warrants held	As a % total No. of warrants of the same class	No. of Convertible securities held	As a % total No. of convertible securities of the same class	
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )	( X )	( XI )	( XII )
1	R. D. Shroff	50,000	4.014	-	-	-	-	-	-	-	-
2	Sandra R. Shroff	50,000	4.014	-	-	-	-	-	-	-	-
3	Shilpa Shroff	50,000	4.014	-	-	-	-	-	-	-	-
4	Jai Shroff	75,000	6.021	-	-	-	-	-	-	-	-
5	Vikram Shroff	75,000	6.021	-	-	-	-	-	-	-	-
6	A. C. Menon / N. C. Ashar	50,000	4.014	-	-	-	-	-	-	-	-
7	Sandra Shroff	25,000	2.007	-	-	-	-	-	-	-	-
8	R. D. Shroff / Jai Shroff	25,000	2.007	-	-	-	-	-	-	-	-
9	Sandra R. Shroff / Jai Shroff	15,000	1.204	-	-	-	-	-	-	-	-
10	Sandra R. Shroff / Jai Shroff	35,000	2.810	-	-	-	-	-	-	-	-
11	Jai Shroff/Vikram Shroff	50,000	4.014	-	-	-	-	-	-	-	-
12	Bloom Packaging Pvt. Ltd.	187,000	15.013	-	-	-	-	-	-	-	-
13	Demuric Holdings Pvt. Ltd.	50,000	4.014	-	-	-	-	-	-	-	-
14	United Phosphorus Ltd.	50,000	4.014	-	-	-	-	-	-	-	-
15	Esthetic Finvest Pvt. Ltd.	50,000	4.014	-	-	-	-	-	-	-	-
16	Shatataraka Holdings Pvt. Ltd.	46,000	3.693	-	-	-	-	-	-	-	-
17	Ventura Guaranty Ltd.	50,000	4.014	-	-	-	-	-	-	-	-
	<b>Total</b>	<b>933,000</b>	<b>74.904</b>	-	-	-	-	-	-	-	-

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

## NIVI TRADING LIMITED

**(I) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C) indicated in statement at para ( I ) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and conv. Securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	Mukul B. Trivedi	12,500	1.004	-	-	-	-	-
2	Sanjeev Trivedi	13,000	1.044	-	-	-	-	-
3	Salim Govani	25,000	2.007	-	-	-	-	-
4	Kanti Thacker	47,000	3.773	-	-	-	-	-
5	Abid Nagaria	23,500	1.887	-	-	-	-	-
6	Bipin Jani	23,500	1.887	-	-	-	-	-
7	Jawahar Thacker	23,500	1.887	-	-	-	-	-
8	Nikhil Gandhi	23,500	1.887	-	-	-	-	-
9	Kartik Bhatia	23,500	1.887	-	-	-	-	-
10	Brubeck Dias	23,500	1.887	-	-	-	-	-
11	R. Shroff Consultants P. Ltd.	50,000	4.014	-	-	-	-	-
				-	-	-	-	-
	<b>TOTAL</b>	<b>288,500</b>	<b>23.162</b>	-	-	-	-	-

**(I) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares**

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert ( PAC ) with them	Number of shares	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C) indicated in statement at para ( I ) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
	<b>NIL</b>							

**(I) (d) Statement showing details of locked-in shares**

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above}
	<b>NIL</b>			

## NIVI TRADING LIMITED

### (II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 512245 NAME OF SCRIPT : NIVITRD				Quarter ended : 30.06.2014
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a <b>percentage</b> of total number of shares {i.e.Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
		<b>N I L</b>		

### (II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 512245 NAME OF SCRIPT : NIVITRD				Quarter ended : 30.06.2014
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a <b>percentage</b> of total number of shares {i.e.Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
		<b>N I L</b>		

