Format of holding of specified securities

Name of Listed Entity: NIVI TRADING LIMITED

Scrip Code: 512245 Name of Scrip: - Class of Security: Equity Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

a. If under 31 (1)(b) then indicate the report for quarter ending: 31st December 2015

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Categ	Category of shareholder (II)	Nos of	No of fully	No of	No of shares	Total nos.	Shareholding as	Number of '	Voting	Rights held in e	ach class of	No of shares	Shareholding, as a	Numbe	r of Locked in	Numbe	er of shares	Number of
ory		sharehol	paid-up equity	Partly	underlying	shares held	a % of total no.	securities (IX)				Underlying	% assuming full	shares (XII)		pledged or otherwise		equity shares
(I)		ders (III)		paid-up		(VII) =	of						conversion of			encumbared (XIII)		held in
			(IV)	equity	Receipts	(IV)+(V)+(VI)	shares(calculate						convertible		1		dematerilized	
				shares	(VI)		d as per SCRR,		No of Voting Rights Total as a %				securities (as a	No. (a)	As a % of	No. (a)	As a % of	form (XIV)
				held (V)			1957) (VIII) As a	Class : Equity Class Total of			of (A+B+C)	(Including percentage of			total shares		total shares	
							% of (A+B+C2)		eg: Y			Warrants)	diluted share		held (b)		held (b)	
												(X)	capital)					
													(XI)=(VII)+(X) As a % of (A+B+C2)					
													a 76 01 (A+B+C2)					
(A)	Promoter & Promoter Group	16	883000	0	0	883000	70.89	883000	0	883000	70.89	0	0	0	0		NA	0
(B)	Public	14	362600	0	0	362600	29.11	362600	0	362600	29.11	0	0	0	0		NA	0
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0		NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0	0	0		NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0		0	0	0	0	0	0		NA	0
	Total	30	1245600	0	0	1245600	100.00	1245600	0	1245600	100.00	0	0	0	0			0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders (I)		PAN (II)	Nos of shareho lder (III)	No of fully paid- up equity shares held (IV)	Partly paid-up equity shares	No of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	a % of total no. of shares(calculate			s held in each class (IX)		No of shares Underlying Outstanding convertible	Shareholding , as a % assuming full conversion of convertible	in sh	er of Locked ares (XII)	ple ot enc	er of shares edged or herwise umbared	Number of equity shares held in dematerilized
					held (V)	(VI)		d as per SCRR,	No of Voting Rights			Total as a % of Total	securities (Including	securities (as a percentage of	No. (a)	As a % of total shares		As a % of total	form (XIV)
								1957) As a % of (A+B+C2) (VIII)	Class : Equity	Class eg: Y	Total	Voting Rights	Warrants) (X)	diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)		held (b)	,	shares held (b)	
(1)	Indian																		
(a)	Individuals/ H.U.F																		
1	Rajju D. Shroff	AALPS 9281Q	1	50,000	0	0	50,000	4.01	50,000	0	50,000	4.01	0	0	0	0) (0	0
2	Shilpa Shroff	AALPS 9282P	1	50,000	0	0	50,000	4.01	50,000	0	50,000	4.01	0	0	0	0) (0	0
3	A. C. Menon	AGKPM6901C	1	50,000	0	0	50,000	4.01	50,000	0	50,000	4.01	0	0	0	0) (0	C
4	Sandra Shroff	AHHPS 2731G	1	25,000	0	0	25,000	2.01	25,000	0	25,000	2.01	0	0	0	0) () 0	0
5	Rajju D. Shroff	AALPS 9281Q	1	25,000	0	0	25,000	2.01	25,000	0	25,000	2.01	0	0	0	0) (0	0
6	Sandra R. Shroff	AHHPS 2731G	1	15,000	0	0	15,000	1.20	15,000	0	15,000	1.20	0	0	0	0) (0	С
7	Sandra R. Shroff	AHHPS 2731G	1	35,000	0	0	35,000	2.81	35,000	0	35,000	2.81	0	0	0	0) (0	С
8	Jai Shroff	AALPS9283N	1	50,000	0	0	50,000	4.01	50,000	0	50,000	4.01	0	0	C	0) (0	0
(b)	Cental/State Government(s)	-	-	0	0	0	0	0	0	0	0	0	0	0	() 0) () 0	0
(c)	Financial Institutions/Banks	-	-	0	0	0	0	0	0	0	0	0	0	0	(0) (0	0
(d)	Any Other (Specify)	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0) () 0	0
	- Bodies Corporate																		
1	Bloom Packaging Pvt. Ltd.	AAACB1928K	1	187,000	0	0	187,000	15.01	187,000	0	187,000	15.01	0	0	0	0) (0	0
2	Demuric Holdings Pvt. Ltd.	AAACD8503E	1	50,000	0	0	50,000	4.01	50,000	0	50,000	4.01	0	0	C	0) (0	0
3	United Phosphorus Ltd.	AABCS1698G	1	50,000	0	0	50,000	4.01	50,000	0	50,000	4.01	0	0	0	0) (0	0
4	Esthetic Finvest Pvt. Ltd.	AAACE5228M	1	50,000	0	0	50,000	4.01	50,000	0	50,000	4.01	0	0	0	0) (0	0
5	Shatataraka Holdings Pvt. Ltd.	AADCS4569A	1	46,000	0	0	46,000	3.69	46,000	0	46,000	3.69	0	0	C	0) () 0	O
	Sub- Total (A)(1)		13	683,000			683,000	54.83	683,000	0	683,000	54.83	0	0	0	0) (0	0
(2)	Foreign																		<u> </u>
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
1	Jai R. Shroff	AALPS9283N	1	75,000	0	0	75,000	6.02	75,000	0	75,000	6.02	0	0	0	0) (0	0
2	Vikram Shroff	AAOPS 8597C	1	75,000	0	0	75,000	6.02	75,000	0	75,000	6.02	0	0	0	0) (0	0
3	Sandra R. Shroff	AHHPS 2731G	1	50,000	0	0	50,000	4.01	50,000	0	50,000	4.01	0	0	(0) (0	C
(b)	Government	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0) (0	0
(c)	Institutions	=	-	0	0	0	0	0	0	0	0	0	0	0	0	0) (0	0
(d)	Foreign Portfolio Investor	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0) (0	C
(e)	Any Other (Specify)	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0) (0	0
	Sub- Total (A)(2)	-	3	200,000	0	0	200,000	16.06	200,000	0	200,000	16.06	0	0	0	0) (0	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	-	16	883,000	0	0	883,000	70.89	883,000	0	883,000	70.89	0	0	0	0) (0	C

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s).

⁽²⁾ The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the shareholders (I)	PAN (II)		No of fully paid- up equity shares held (IV)	paid- up equity shares held	No of shares underlyi ng Deposito ry Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholdi ng as a % of total no. of shares(calc ulated as per SCRR, 1957) As a % of (A+B+C2) (VIII)		Voting	ts held in each class (IX) Rights Total	Total as a % of Total Voting Rights	Outstanding convertible securities (Including	ng , as a % assuming full conversion	Locke No.	mber of ed in shares (XII) As a % of total shares held (b)		d or vise ared As a %	Number of equity shares held in dematerilized form (XIV)
(1) Institutions																		
(a) Mutual Fund/UTI	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(b) Venture Capital Funds	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(c) Alternate Investment Funds	-	-	0	0	0	0	0	0	C	0	0	0	0	0	0	NA		0
(d) Foreign Venture Capital Investors	-	-	0	0	0	0	0	0	C	0	0	0	0	0	0	NA		0
(e) Foreign Portfolio Investors	-	-	0	0	0	0	0	0	C	0	0	0	0	0	0	NA		0
(f) Financial Institutions Banks	-	-	0	0	0	0	0	0	C	0	0	0	0	0	0	NA		0
(g) Insurance Companies	-	-	0	0	0	0	0	0	C	0	0	0	0	0	0	NA		0
(h) Provident Funds/Pension Funds	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
(i) Any Other (specify)	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
Sub- Total (B)(1)	-	-	0	0	0	0	0	0	0	0		0	0	0	0	NA		0
(2) Central Government/State Government(s)/President of India	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
Sub- Total (B)(2)	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0

(3)	Non- Institutions																NA	
	Individuals - i.Individual shareholders holding nominal share capital up to Rs.2 lakhs.																NA	
1	Mukul B. Trivedi	AAAPT8113H	1	12,500	0	0	12,500	1.00	12,500	0	12,500	1.00	0	0	0	0		0
2	Sanjeev Trivedi	AACPT8990N	1	13,000	0	0	13,000	1.04	13,000	0	13,000	1.04	0	0	0	0		0
3	Rajendra B. Darak	ABYPD5910F	1	12,100	0	0	12,100	0.97	12,100	0	12,100	0.97	0	0	0	0		0
4	Sameer B. Mehta	ANTPM1049D	1	12,000	0	0	12,000	0.96	12,000	0	12,000	0.96	0	0	0	0		0
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.																NA	
1	Salim M. Govani	AADPG0212D	1	25,000	0	0	25,000	2.01	25,000	0	25,000	2.01	0	0	0	0		0
2	Kanti M. Thacker	AAEPT2579D	1	47,000	0	0	47,000	3.77	47,000	0	47,000	3.77	0	0	0	0		0
	Abid F. Nagaria	AABPN7017K	1	23,500	0	0	23,500	1.89	23,500	0	23,500	1.89	0	0	0	0		0
	•	AAIPJ4033G	1	23,500	0	0	23,500	1.89	23,500	0	23,500	1.89	0	0	0	0		0
	Jawahar M. Thacker	AACPT3450B	1	23,500	0	0	23,500	1.89	23,500	0	23,500	1.89	0	0	0	0		0
	Nikhil Gandhi	AADPG6537F	1	23,500	0	0	23,500	1.89	23,500	0	23,500	1.89	0	0	0	0		0
7	Kartik Bhatia	AAXPB5781G	1	23,500	0	0	23,500	1.89	23,500	0	23,500	1.89	0	0	0	0		0
8	Brubeck Dias	AALPD2860L	1	23,500	0	0	23,500	1.89	23,500	0	23,500	1.89	0	0	0	0		0
(b)	NBFCs registered with RBI	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Employee Trust	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Overseas Depositories (holding DRs) (balancing figure)	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(e)	Any Other (Specify)																NA	
	-Bodies Corporate																NA	
1	R. Shroff Consultants Pvt. Ltd.	AABCR9751P	1	50,000	0	0	50,000	4.01	50,000	0	50,000	4.01	0	0	0	0		0
2	Ventura Guaranty Limited	AAACV1360K	1	50,000	0	0	50,000	4.01	50,000	0	50,000	4.01	0	0	0	0		0
	Sub- Total (B)(3)		14	362,600	0	0	362,600	29.11	362,600	_	362,600	29.11	0	0	0	0	NA	0
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		14	362,600	0	0	362,600	29.11	362,600	0	362,600	29.11	0	0	0	0	NA NA	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held	Partly paid-up equity shares	underlying Depository Receipts	shares held (VII) = (IV)+(V)+(Shareholding as a % of total no. of shares(calculate			f Voting Rights held in each ass of securities (IX)		No of shares Underlying Outstanding convertible	Total Shareholding , as a % assuming full conversion of convertible	Number of Locked shares (XII)		Number pledged o encumba	Number of equity shares held in dematerilized	
				(IV)	held (V)	(VI)	VI)	d as per SCRR, 1957) As a % of (A+B+C2) (VIII)	No of Class eg: X	Voting Ri Class eg: Y	ghts Total	Total as a % of Total Voting Rights	securities (Including Warrants) (X)	securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	No.	As a % of total shares held	No. (Not applicabl e)	As a % of total shares held (Not applicable)	form (XIV)
(1)	Custodian/DR Holder	1	-	0		0	0	0	0	0	0	0	0	0	0	0	Ì	NA	0
(a)	Name of DR Holder (If available)																1	NA	
(i)	abc																1	NA	
(ii)	efg																1	NA	
(2)	Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)	=	-	0		0	0	0	0	0	0	0	0	0	0	0	1	NA	0
(a)	Name (abc																ĺ	NA	
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)	÷	=	0		0	0	0	0	0	0	0	0	0	0	0	I	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,